

DOCUMENT, SETUP & AMENDMENT SERVICES

Retirement Plan Documents

- 401(k), 403(b) \$900
- Cash Balance, Defined Benefit Custom

Amendments, Setup & Other Document Fees

- Takeover Fee \$200
- Plan Amendment \$150

ANNUAL ADMINISTRATION & REPORTING SERVICES

Annual Base¹

- Allocated w/ Record Keeper \$1,400
- Brokerage \$2,000
- Pooled \$2,200
- Cash Balance, Defined Benefit Custom

Annual per Account²

- Allocated w/ Record Keeper \$12/Account²
- Brokerage \$20/Account²
- Pooled \$22/Account²
- Cash Balance, Defined Benefit Custom

PLAN DESIGN & EXECUTION FEES

Employer Allocation

Cross Tested, Integrated, Pro-rata, etc... \$200 minimum

Additional Required Testing

Coverage Tests, etc... \$250 minimum

Additional Required Forms

5558, 5330, SSA \$125 minimum

Schedule H Filing / Audit Support

\$700

PLAN DISTRIBUTION FEES - ADDITIONAL PER EVENT *(Paid by Participant)*

Participant Distribution and Loan Setup

- Allocated w/ Record Keeper \$125
- Pooled / Brokerage \$235

QDRO

\$500

* Hourly work apart from the fees on this schedule will be billed at \$225 per hour.

* 10% revenue sharing will be credited to client account after the first \$300 received in the Calendar Year. Additional work listed above may be subject to additional fees.

¹ Small plans (less than 15 employees and 5 participants) without compliance issues are eligible for a small plan credit.

² Per Account means per participant per money type. If an employee defers and receives a match then the fee would be \$24/year for that participant - for allocated accounts.