

## DOCUMENT, SETUP & AMENDMENT SERVICES

### Retirement Plan Documents

- 401(k), 403(b) \$900
- Cash Balance, Defined Benefit Custom

### Amendments, Setup & Other Document Fees

- Takeover Fee \$200
- Plan Amendment \$150

## ANNUAL ADMINISTRATION & REPORTING SERVICES

### Annual Base<sup>1</sup>

- Allocated w/ Record Keeper \$1,400
- Brokerage \$2,000
- Pooled \$2,200
- Cash Balance, Defined Benefit Custom

### Annual per Account<sup>2</sup>

- Allocated w/ Record Keeper \$11/Account<sup>2</sup>
- Brokerage \$20/Account<sup>2</sup>
- Pooled \$22/Account<sup>2</sup>
- Cash Balance, Defined Benefit Custom

## PLAN DESIGN & EXECUTION FEES

### Employer Allocation

*Cross Tested, Integrated, Pro-rata, etc...*

\$200-\$600

### Additional Required Testing

*Coverage Tests, etc...*

\$100 minimum

### Additional Required Forms

*5558, 5330, SSA*

\$100-\$300 each

### Schedule H Filing / Audit Support

\$700

## PLAN DISTRIBUTION FEES - ADDITIONAL PER EVENT *(Paid by Participant)*

### Participant Distribution and Loan Setup

- Allocated w/ Record Keeper \$125
- Pooled / Brokerage \$235

### QDRO

\$500

\* Hourly work apart from the fees on this schedule will be billed at \$225 per hour.

\* *venue sharing will be credited to client account after the first \$300 received in the Calendar Year. Additional work listed above may be subject to additional fees.*

<sup>1</sup>Small plans (less than 15 employees and 5 participants) without compliance issues are eligible for a small plan credit.

<sup>2</sup>Per Account means per participant per money type. If an employee defers and receives a match then the fee would be \$22/year for that participant - for allocated accounts.