

DOCUMENT, SETUP & AMENDMENT SERVICES

Retirement Plan Documents

- 401(k), 403(b) \$900
- Cash Balance, Defined Benefit Custom

Amendments, Setup & Other Document Fees

- Takeover Fee \$200
- Plan Amendment \$150

ANNUAL ADMINISTRATION & REPORTING SERVICES

Annual Base¹

- Allocated w/ Record Keeper \$1,400
- Pooled / Brokerage (> 1 participant) \$1,900
- Cash Balance, Defined Benefit Custom

Annual per Account²

- Allocated w/ Record Keeper \$10/Account²
- Pooled / Brokerage \$19/Account²
- Cash Balance, Defined Benefit Custom

PLAN DESIGN & EXECUTION FEES

Employer Allocation

Cross Tested, Integrated, Pro-rata, etc...

\$200-\$600

Additional Required Testing

Coverage Tests, etc...

\$100 minimum

Additional Required Forms

5558, 5330, SSA

\$100-\$300 each

Schedule H Filing / Audit Support 3(16) Services

\$650
Custom

PLAN DISTRIBUTION FEES - ADDITIONAL PER EVENT *(Paid by Participant)*

Participant Distribution and Loan Setup

- Allocated w/ Record Keeper \$125
- Pooled / Brokerage \$200

QDRO

\$300

*Revenue sharing will be credited to client account after the first \$275 received in the Calendar Year. Additional work listed above may be subject to additional fees.

¹Small plans (less than 15 employees and 5 participants) are eligible for a small plan credit.

²Per Account means per participant pemonney type. If an employee defers and receives a match then the fee would be \$20/year for that participant.