

PROFESSIONAL BENEFIT SERVICES RETIREMENT SERVICES & FEE SCHEDULE

Effective 1/1/2024

DOCUMENT, SETUP & AMENDMENT SERVICES

Retirement Plan Documents

- 401(k), 403(b) \$900
- Cash Balance, Defined Benefit Custom

Amendments, Setup & Other Document Fees

- Takeover Fee \$200
- Plan Amendment \$150

ANNUAL ADMINISTRATION & REPORTING SERVICES

Annual Base¹

- Allocated w/ Record Keeper \$1,400
- Pooled / Brokerage (>1 participant) \$1,900
- Cash Balance, Defined Benefit Custom
- Solo(k)²
 - Filers \$750
 - Non-Filers \$500

Annual per Account³

- Allocated w/ Record Keeper \$10/Account³
- Pooled / Brokerage (>1 participant) \$19/Account³
- Cash Balance, Defined Benefit Custom
- Solo(k) \$0

PLAN DESIGN AND EXECUTION FEES

Employer Allocation

Cross Tested, Integrated, Pro-rata, etc... \$200-\$600

Additional Required Testing

Coverage Tests, etc... \$100 minimum

Additional Required Forms

5558, 5330, SSA \$100-\$300 each

Schedule H Filing / Audit Support

\$650

3(16) Services

Custom

PLAN DISTRIBUTION FEES – ADDITIONAL PER EVENT² (Paid by participant)

Participant Distribution and Loan Setup

- Allocated w/ Record Keeper \$125
- Pooled / Brokerage \$200

QDRO

\$300

*Revenue sharing will be credited to client account after the first \$275 received in the Calendar Year. Additional work listed above may be subject to additional fees.

¹Small plans (less than 15 employees and 5 participants) are eligible for a small plan credit.

²Solo K's are designed using our standardized provisions which are generally optimized for owner only plans. Deviation from these standards may subject the plan to additional fees.

³Per Account means per participant per money type. If an employee defers and receives a match then the fee would be \$20/year for that participant.