

# PROFESSIONAL BENEFIT SERVICES RETIREMENT SERVICES & FEE SCHEDULE

Effective 1/1/2023

## DOCUMENT, SETUP & AMENDMENT SERVICES

### Retirement Plan Documents

- 401(k), 403(b) \$900
- Cash Balance, Defined Benefit Custom

### Amendments, Setup & Other Document Fees

- Takeover Fee \$200
- Plan Amendment \$150

## ANNUAL ADMINISTRATION & REPORTING SERVICES

### Annual Base<sup>1</sup>

- Allocated w/ Record Keeper \$1,300
- Pooled / Brokerage (>1 participant) \$1,800
- Cash Balance, Defined Benefit Custom
- Solo(k)<sup>2</sup>
  - Filers \$750
  - Non-Filers \$500

### Annual per Account<sup>3</sup>

- Allocated w/ Record Keeper \$9/Account<sup>3</sup>
- Pooled / Brokerage (>1 participant) \$18/Account<sup>3</sup>
- Cash Balance, Defined Benefit Custom
- Solo(k) \$0

## PLAN DESIGN AND EXECUTION FEES

### Employer Allocation

*Cross Tested, Integrated, Pro-rata, etc...* \$200-\$600

### Additional Required Testing

*Coverage Tests, etc...* \$100 minimum

### Additional Required Forms

*5558, 5330, SSA* \$100-\$300 each

### Schedule H Filing / Audit Support

\$650

### 3(16) Services

Custom

## PLAN DISTRIBUTION FEES – ADDITIONAL PER EVENT<sup>2</sup> (Paid by participant)

### Participant Distribution and Loan Setup

- Allocated w/ Record Keeper \$125
- Pooled / Brokerage \$200

### QDRO

\$300

\*Revenue sharing will be credited to client account after the first \$250 received in the Calendar Year. Additional work listed above may be subject to additional fees.

<sup>1</sup>Small plans (less than 15 employees and 5 participants) are eligible for a small plan credit.

<sup>2</sup>Solo K's are designed using our standardized provisions which are generally optimized for owner only plans. Deviation from these standards may subject the plan to additional fees.

<sup>3</sup>Per Account means per participant per money type. If an employee defers and receives a match then the fee would be \$18/year for that participant.